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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,617	11/28/2001	Masafumi Takiguchi	216457US0CONT

22850  
OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC  
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1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VA 22202

CONFIRMATION NO. 9257

## FORMALITIES LETTER



\*OC000000007230878\*

Date Mailed: 12/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A  
NOTICE TO FILE MISSING  
PARTS OF AN APPLICATION**



ATTORNEYS AT LAW

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventor: Masafumi TAKIGUCHI et al  
Serial No: 09/994,617  
Filed: November 28, 2001  
For: HIV-SPECIFIC CTL INDUCING PEPTIDES AND MEDICAMENTS  
FOR PREVENTING OR TREATING AIDS COMPRISING THE  
PEPTIDES

SIR:

Attached hereto for filing are the following papers:

- Notice to File Missing Parts of Nonprovisional Application - Return Copy
- Filing of Declaration under 37 CFR 1.53(f)
- Declaration and Power of Attorney for Patent Application, Executed, 3 pages
- Information Disclosure Statement; PTO 1449 Form; (1) Reference
- Request for Priority; (1) Priority Document

Our check in the amount of \$ \_\_\_\_ is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon  
Registration No. 24,618

Daniel J. Pereira, Ph.D.  
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Docket No. 216457US0CONT

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(OSMMN 10/98)

Docket No.

216457US0CONT



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Masafumi TAKIGUCHI et al

SERIAL NUMBER: 09/994,617

ATTN: APPLICATION BRANCH

FILING DATE: November 28, 2001

FOR: HIV-SPECIFIC CTL INDUCING PEPTIDES AND MEDICAMENTS FOR PREVENTING OR TREATING AIDS COMPRISING THE PEPTIDES

**FILING OF DECLARATION UNDER 37 CFR 1.53(f)**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated **December 26, 2001**, and in accordance with the provisions of 37 CFR 1.53(f), Applicants submit herewith a Rule 63 Declaration. The required fee was paid at the time of filing the application.

The Declaration enclosed herewith contains the Title of the Invention and Inventor(s) name(s) and is believed, in combination with the application serial number and filing date contained in this cover letter, to adequately identify the above-identified application in accordance with 37 CFR 1.63, as set forth in MPEP Section 601.01.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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